

**Annual Report for the
Institute of Governmental Studies (IGS)**

University of California, Berkeley

2002-2003

Bruce E. Cain, Director

Institute of Governmental Studies
111 Moses Hall # 2370
University of California
Berkeley, CA 94720-2370

TEL. (510) 642-1474
FAX (510) 642-3020

www.igs.berkeley.edu

1. General Presentation—Reinventing the ORU: Research as Teaching and Public Service

The Institute of Governmental Studies is the University of California’s primary center for interdisciplinary study and research in politics, government, and public policy. IGS works with undergraduates, graduate students, and scholars across the campus and around the world to further the University’s primary goals of teaching, research, and public service. While most ORUs have a narrow research focus, IGS has long sought a broader mandate. We believe that research benefits from the synergy generated by teaching and public service, and our experience regularly confirms that belief. This synergy is not a recent discovery. At its birth in 1919 as the Bureau of Public Administration, IGS committed itself to use its resources—its library, its research capacity, its publications program, and its teaching and training—to help solve “the important problems of government administration.”

Among its many activities, IGS:

- Encourages undergraduate involvement in research by providing faculty guidance and administrative and financial support.
- Provides faculty expertise to state commissions and sponsors conferences on campus and in Sacramento to examine key policy questions from political regulatory reform to the initiative, constitutional revision and digital democracy.
- Works closely with the electronic and print press to see that the public is better informed about electoral decisions and governmental actions.
- Offers university-wide leadership in assembling colleagues to study issues such as recall elections and term limits, and to explore new research initiatives.
- Publishes books that help to define state politics from the governor’s race to the changing impact of racial and ethnic politics in California.
- Gives financial and intellectual support to journalists who write major biographies of state political leaders including Phil Burton, Willie Brown, Jesse Unruh, Pat Brown, and Earl Warren.

Every program IGS presents is open to the public. Every research project incorporates a role for students. We are constantly inventing new ways to disseminate the results of state supported research to those people who can best put it to use. A brief outline of these efforts follows.

Teaching, Training & Research

Graduate Students

ORUs are not teaching units per se. But invaluable instruction can take place in a research environment that teaches students the art of discovery. IGS provides financial support, faculty guidance, and a campus home to 45 doctoral candidates. These students work with IGS scholars as Graduate Student Researchers or serve as Teaching Assistants in classes taught by IGS faculty and associates. At IGS, teaching, training, and research are all part of an open-ended, ongoing process that occurs in informal discussions in faculty offices or impromptu talks over tea as well as in formal seminars, at conferences, and in structured research projects. Some recent examples:

- *Voting at the Political Fault Line*, published by UC Press, is a major study of the impact of California’s blanket primary on electoral behavior and outcomes.
- Graduate student Matt Jarvis worked with *California Journal* Editor David Leshner on an analysis of the California electorate that ran in the *California Journal*, and IGS Director Bruce Cain teamed with graduate student researchers and Contra Costa political editor Dan Borenstein to conduct a poll of antiwar sentiment in the 9th Congressional District.
- Cain and a team of IGS graduate students provided research support for a Bipartisan Commission that reviewed the impact of California’s 1974 Political Reform Act.
- IGS sponsored a university-wide conference on “Research Initiatives in American Government, Politics and Public Policy” that gathered faculty and graduate students from eight UC campuses to compare notes on current research and teaching initiatives.

- With the Goldman School of Public Policy, IGS held a national conference that examined the relentless problem of, and possible solutions to, urban homelessness.
- IGS has helped assemble UC scholars on European politics to examine the tension between institutions of representative democracy and new calls for institutional reform.

Undergraduates

- The Institute employs 22 undergraduates in a variety of positions. Most of them work as administrative assistants on research projects, but a half dozen or so are hired specifically to do research. Working in teams supervised by graduate students, undergraduate researchers have worked on a number of important Institute research projects.
- IGS Director Bruce Cain serves as faculty advisor to the Cal in Sacramento Program.
- Cain teaches a popular California Politics course. IGS provides modest financial support for student research, and the best work is published in the IGS *Public Affairs Report*.
- IGS Visiting Scholars and practicing political consultants Darry Sragow and Dan Schnur teach an undergraduate course on political campaigns and communications.
- Former state legislator Johan Klehs teaches the academic segment of the Cal in Sacramento program as well as a political science course on *The Politician*.
- With the creation of its new Center on Politics and Public Service, IGS has undertaken a major new initiative to address the political concerns of undergraduates.
- Jerry Lubenow, IGS Director of Publications, advises the editorial staff of an undergraduate journal, the *Berkeley Political Review*.

Public Service

IGS has a strong orientation to public service and views its mission to educate as extending beyond its students to the people of California and to their representatives in state and local government. The ability of IGS simultaneously to serve the Capitol, the campus, and the community has been significantly strengthened with the creation of programs such as the Center on Politics and Public Service and Berkeley/Sacramento Program. Among the Institute's many service activities:

- IGS joined UC Santa Barbara and other organizations to host an international conference on the presidency of Ronald Reagan.
- To make its symposium on mental illness more accessible, IGS arranged for it to be held on three campuses—Berkeley, UCSF, and UCLA—linked by digital teleconference.
- The Berkeley/Sacramento Program brings legislators and public officials to campus to meet with students, faculty, and the public. Its Berkeley Briefings bring faculty to Sacramento to brief legislators and senior legislative and agency staff on key issues.
- IGS worked with the Cal in Sacramento Program to find capital internships for undergraduates and held a fundraiser in Sacramento to help fund the program.
- IGS' annual Presidential Symposium capped a series of public forums on the presidency.
- The Institute's *Public Affairs Report* is widely read and regularly praised by scholars, journalists, public figures, and people across the nation and around the world.
- Media representatives in the Bay Area, across the nation, and around the world, regularly call upon IGS experts to comment on issues of public concern. A count of media citations over the past decade found IGS mentioned 678 times. The next most cited Berkeley Social Science ORU had a little more than 100 mentions.
- While many ORUs have closed their libraries, the IGS Library remains a unique resource on a vast range of public issues for scholars, students, public officials, the general public.
- And as more and more ORUs shut down their publishing efforts, IGS Press and its Berkeley Public Policy Press imprint have become a campus resource, publishing materials for other ORUs, schools, and departments.

2. Research Narrative and Highlights

Director's Statement: Bruce E. Cain

American politics has been so remarkable in recent years that it would be hard for IGS research not to prosper. In addition to the research interests of our faculty and graduate students, unpredictable new events like the California recall and the Iraq war command our attention and study. The Institute continues to do more research with less state and University support (we absorbed our third major cut in funds since 1999) and more from extramural contracts and private grants.

Several ongoing projects are winding down this year. The research on the term limits project is now complete and the results are being written up in a Public Policy Institute of California (PPIC) monograph and a separate report to the National Conference of State Legislatures. It reveals important ways that the California State Legislature has changed as a consequence of the term limitations adopted in 1990. Based on numerous interviews and detailed data collection, this study demonstrates that there has been a marked decrease in the experience of committee chairs and key staff consultants, resulting in higher partisanship, less quality control at the committee stage, higher rates of amendment, and less deliberative procedures.

Another study in the finishing stages is a major national initiative sponsored by The Pew Charitable Trusts on contemporary political campaigns. Based on interviews with professional campaign advisors, two statewide surveys of voter attitudes towards political campaigns, and extensive written materials in the consultant trade journals, this project traces the sources of voter discontent with the current electoral system and makes some recommendations on how campaigning can be improved. This grant launched the creation of the Center for Campaign Leadership (campaigns.berkeley.edu) that offered ethical and best practices training to 800 young professionals and nurtured a research agenda for enhancing electoral politics.

And lastly, a joint UC Irvine-IGS project, funded by the UC Berkeley Institute of European Studies and the Rockefeller Foundation, on new forms of democracy in the U.S. and Europe finished up producing a book that will soon be published by Oxford University Press. This work documents the trends towards greater transparency and openness to public participation in both electoral and administrative arenas in advanced democracies. These developments raise important questions for modern democracies.

The 2002 election and the 2003 recall have created a new project: a UC systemwide study of the recall process and how voters responded to it. The project kicks off with a debriefing of the journalists, campaign consultants, and lawyers who were involved in various aspects of the recall campaign, followed by a meeting of 39 academics from around the state who have expressed an interest in researching some aspect of the subject. This represents the third project (the other two being the Constitutional Revision and the Blanket Primary Projects) in which the IGS has taken on MRU-like responsibilities, initiating and funding cross-campus research endeavors on important California subjects.

Another new initiative is the Conflict of Interest study. Conflict of Interest laws prevent potential corruption by regulating private interests that might distort public decision-making through disclosure, disqualification, the establishment of blind trusts, and the like. The U.S. has had such laws for several decades, but they are now being adopted in parliamentary democracies such as Britain and Canada, and being discussed in Italy. What are the conditions that give rise to such laws? What are the problems in implementing conflict of interest restrictions? This project will answer these questions in a comparative framework.

Other notable research initiatives in the recent year are as follows. Under the leadership of Professor Shannon Stimson, the IGS has launched a new Ethics and Accountability Website (ethics.berkeley.edu) that provides an extensive listing of internet resources, courses, library holdings, programs, and current developments in the field of applied ethics. This project is in conjunction with the Charles T. & Louise H. Travers Program on Ethics and Government Accountability. We also ran other conferences in this subject area: one on "Citizenship, Education and Accountability," and another entitled "A Nation of Immigrants: Ethnic Identity and Political Incorporation." The latter will result in a book project under the leadership of Professors Taeku Lee and Jack Citrin.

In the upcoming year, we have high hopes for our newly established Center on Institutions & Governance (CIG) under the leadership of Professor Robert Powell. CIG promotes research and education on the way that institutions shape politics and policy and on the way that politics and policy shape institutions. The goal of the Center is to advance our understanding of institutions across a wide range of substantively diverse issues and to develop new approaches from political science and economics as applied to this emerging area of research. Comparative institutional analysis is an important research activity at the Center. The Center has launched a very important project to collect and put up on a website (voteworld.berkeley.edu) the roll-call votes of legislatures from around the world along with software that can be used to analyze the voting patterns.

The IGS was a cosponsor of several other conferences including “Historicizing the Political: Anglo-American Approaches to a Historical Political Science since 1900” along with the Institute of European Studies and the Townsend Center for the Humanities, and a conference in honor of Professor Martin Shapiro cosponsored with the Center for the Study of Law & Society and the Boalt School of Law.

The Center on Politics completed its second year of activities promoting civic engagement for Berkeley students by sponsoring seminars with elected officials and offering internships in political institutions. Under the leadership of Jerry Lubenow, the Center and the UC Berkeley/Sacramento Program strengthen the connective tissue between campus and state government leaders. Under this program, IGS hosted former Assemblywoman Dion Aroner and former Chair of the California Fair Political Practices Commission Karen Getman to advance Berkeley’s outreach to Sacramento.

In addition to the various organized research projects, the IGS continues to assist numerous graduate students and faculty with their research needs, and to sponsor many regular seminars on subjects from formal theory to political history. To support these activities, we have sought and received over \$2,400,000 from 20 different sources in extramural awards and contracts. In addition, the California Statewide Data Base, headed by Karin Mac Donald, brings in over \$500,000 to support the collection of state political and demographic data. This database is a widely used resource by legislators, professionals, researchers, and the media.

In sum, IGS is a model ORU serving as the University’s primary center to advance the study of American politics and public policy.

3. Summary Table

Item	2002-2003	2001-2002	2000-2001
Number of Active Unit Participants			
Number of Faculty	32	30	27
Number of Academic Researchers/Postdocs/Visiting Scholars	39	29	25
Number of Students	86	62	6
Number of Professional/Technical Staff	5	5	7
Space (total square footage)	13020 ¹	16564	16564
Extramural Funding Proposals			
Number Submitted	13	21	11
Number Awarded	8	12	9
Proposed Total Funding Requested	\$573,606	\$ 1,954,310	\$ 334,171
Number of Projects (research projects, conferences, workshops, etc.)			
New	14	16	11
Continuing	25	27	25
Seminars	115	101	78
Conferences and symposiums	19	15	19
Central Support (fund numbers 07427, 19900, 20000, 20095, 69750)			
Total FTE (include temporary and casual) paid	17.86	18.04	18.50
Permanent FTE	13.79	14.63	15.05
Permanent Centrally-Funded Budget	\$1,285,669	\$1,020,393	\$1,025,873
Extramural Expenditures (contracts and grants)			
Direct Research Expenditures (Includes CCL/Pew Grant expense \$699,050)	\$881,772	\$340,367	\$39,620
Other-Includes NSF doctoral student & WSP	\$ 28,792	\$72,907	\$54,898
Indirect Cost Generated	\$37,692	\$18,498	\$8,301
Earned Revenue			
IGS Library-PPIC contract	\$126,528	\$130,474	\$116,402
IGS Berkeley Public Policy Press	\$ 46,169	\$53,479	\$59,379

¹ Transferred Lipman Room (8th Floor Barrows Hall) to the Political Science Dept. effective 7/1/02; includes off-site storage.

4. IGS Personnel

Name	Title	Affiliation	Contribution					
			ORU PI	Research Team Member	Student Supervision	Workshop/ Seminar Participant	Publications	Other
Center for Campaign Leadership (CCL)								
Cain, Bruce	Principal Investigator	IGS, Political Science, UCB	x	x	x			
Schnur, Daniel	Contractor	Command Focus		x	x			
Trost, Christine	Assist. Specialist	IGS, Amer. History Inst., UCB		x	x			
Mitchell, Julia	Program Rep. II			x	x			
Lipsitz, Keena	GSR			x				
Sides, John	GSR			x				
Jackson, Janeen	Admin. Asst. II							x
Edgecomb, Kevin	Computer Res. Specialist							x
Chang, Kai	Computer Res. Specialist							x
Bakhtar, Bardi	Asst. II-Student			x				
Gabriel, Jesse	Asst. II-Student							x
Shah, Suneeti	Admin Asst. I			x				
Berkeley Center for Globalization and Information Technology (BCGIT)								
Laguerre, Michel	BGIT Director	African American Studies, UCB	x	x	x			
Perleman, Elishiva	GSR							x
Yee, Jennifer	Asst. II-Student			x				x
Center on Politics & Public Service								
Lubenow, Gerald	Program Director	IGS, UCB		x	x	x	x	
Doherty, Brendan	GSR			x		x		
Goux, Darshan	GSR			x				x
Lee, Anka	Asst. II-Student							x
Sragow, Darry	Lecturer	Public Strategies				x		
Framing Technical Decision-Making								
Rochlin, Eugene	Professor	Energy & Research Group,UCB	x	x	x			
LaPorte,Todd	Professor	Political Science, UCB	x	x	x			
Posner, Tamar	Student Stipend	Energy & Research Group,UCB		x				
Fishman, Jennifer	Student Stipend	Soc. & Behavior Sci., UCSF		x				x
Chiba, Yuko	Student Stipend	Energy & Research Group,UCB		x				x
John Gardner Fellowship								
Carne, Margaret	GSR-Administrator	IGS, UCB			x			x
Smith, Keith	GSR-Administrator	IGS, UCB			x			x
Goldbrenner, Rachel	Fellow	UCB						x
Horowitz, Tayla	Fellow	UCB						x
Phongkhamsavath, Chanthip	Fellow	UCB						x

4. IGS Personnel

Name	Title	Affiliation	Contribution					
			ORU PI	Research Team Member	Student Supervision	Workshop/ Seminar Participant	Publications	Other
John Jacobs Fellowship								
Boyarsky, Bill		LA Times (retired)						x
Program In Psychological Economics (PIPE)								
Rabin, Matthew	Professor	Economics, UCB	x	x	x			
Program on Community Change & Public Policy								
Landau, Madeline	Consultant			x				x
Landau, Martin	Professor Emeritus	Political Science, UCB		x				
Gilbert, Nancy	Consultant			x				x
				x				
Transformation of Democratic Institutions of Europe								
Aldrich, John	Professor	Political Science, Duke University		x		x		
Ansell, Christopher	Professor	Political Science, UCB		x		x		
Bang, Henrik	Director & Professor	Poli. Science, Univ. of Copenhagen		x		x		
Cain, Bruce	Director & Professor	IGS, Political Science, UCB	x	x		x		
Carter, Elisabeth	GSR	Dept. of Gov., Univ. of Manchester		x		x		
Caddy, Joanne	Administrator	OECD, France		x		x		
Russell, Dalton	Director & Professor	Ctr. for the Study of Democ., UCI	x	x	x	x		
Egan, Patrick	GSR	IGS, UCB		x		x		
Fabbrini, Sergio	Professor	Dept of Sociology, Univ. of Trento		x		x		
Farrell, David	Professor	Dept. of Gov., Univ. of Manchester		x		x		
Gingrich, Jane	GSR	Political Science, UCB		x		x		
Gray, Mark	GSR	Dept. of Politics & Society, UCI		x		x		
Klingmann, Hans-Dieter	Director & Professor	Wissenschaftszentrum Berlin		x		x		
Levin, Marc	Asst. Director	IGS, UCB		x				x
Peele, Gillian	Professor	Oxford University		x		x		
Scarrow, Susan	Professor	Political Science, Univ. of Houston	x	x		x		
Warren, Mark	Professor	Dept. of Gov., Georgetown Univ.		x		x		
Travers Ethics Program								
Cain, Bruce	IGS Director, Co-director		x	x	x			
Stimson, Shannon	Professor, Co-director	Political Science, UCB	x	x	x			
Anker, Elizabeth	GSR			x				
Epstein, Edwin	Professor Emeritus, Chair	Peace & Conflict Studies		x	x			
Guowitz, Amy	Post Doc, Lecturer	Political Science, UCB		x	x			x

4. IGS Personnel

Name	Title	Affiliation	Contribution					
			ORU	Research	Student	Workshop/	Publications	Other
			PI	Team	Supervision	Seminar		
			Member		Participant			
UC Berkeley/Sacramento Program								
Lubenow, Gerald	Program Director	IGS, UCB		x	x		x	x
Aroner, Dion	Acad. Specialist	IGS, UCB						x
Johnson, Patrick	Researcher	IGS, UCB						x
Doherty, Brendan	GSR			x				x
Lee, Anka	Asst. II -Student			x				x
Goux, Darshan	GSR			x				x
Statewide Database (SWDB)								
Mac Donald, Karin	Program Director			x	x			x
Cain, Bruce	Principal Investigator		x	x				
Boyle, Nicole	AA II			x				
Chang, Kai	CRS II			x				x
Kong, Robin	Asst. II-Student			x				
Pradham, Anup	Program Analyst II			x				x
Academic Researchers, and Visiting Scholars								
Abrams, Richard	Professor	History, UCB				x		
Ansell, Christopher	Professor	Political Science, UCB				x		
Bevir, Mark	Professor	Political Science, UCB				x		
Bimes, Terri	Asst. Researcher/Lecturer	IGS, UCB		x	x	x		
Buchanan, Alida	Visiting Scholar							x
Burt, Ken	Visiting Scholar	CA Federation of Teachers				x		
Comfort, Louise	Professor	University of Pittsburg	x	x		x		
Curtin, Greg	Visiting Scholar	Civic Resouce Group				x		
Del Vecchio, Pasquale	Visiting Student Researcher	University of Bologna						x
Didier, Daniel	Exchange Student	Ecole Normale Superieure de Cachan, France						x
Di Palma, Beppe	Professor, Poli Sci	Bologna, Italy						
Einhorn, Robin	Professor	History, UCB				x		
Ferrera, Maurizio	Visiting Scholar	Universita' Degli Studi di Pavia, Italy						x
Freddi, Giorgio	Visiting Scholar	University of Bologna, Italy						x
Golden, Marissa	Visiting Scholar	Bryn Mawr College						x
Goldhammer, Jesse	Research Fellow							x
Grossman, Michael	Visiting Scholar	Political Science, UCB				x		
Guowitz, Amy	Lecturer, Poli Sci	UCB				x		
Hamilton, Randy	Visiting Scholar	UCB		x		x		

4. IGS Personnel

Professional/Technical/Administrative Research Staff								
Payroll Title/Functional Title	Number employed							
Specialist	2							
Asst. Specialist	1							
Asst. Researcher	1							
CRS II	2							
Central Unit Support Staff								
Name	Payroll Title/Functional Title	Budgeted FTE or average %	Salary Sources (check all that apply)					
			Central Campus	Extramural Funds	Income Revenue	Gifts/Endowments	Other	
Administrative Operations								
Cain, Bruce	Director	.50 FTE	x					
Cain, Bruce	Director-Admin Stipend		x					
Cain, Bruce	Director-1/9th Summer	.23 FTE	x	x				
Levin, Marc	Acad Coord. II/Asst. Director	1.00FTE	x					
Chang, Kai	CRS II	100%		x	x			
Edgecomb, Kevin	CRS II	100%						
Jackson, Janeen	AA II	100%		x				
Page, Carole	AA III	1.00 FTE	x	x				
Salazar, Louise	Admin Analyst/Business Officer	1.00 FTE	x				x	
Wiener, Elizabeth	AAII	1.00 FTE	x					
Berkeley Public Policy Press								
Lubenow, Gerald	Academic Coord. III	.50 FTE	x					x
Ramirez, Patricia	Sr. Publication Coord.	1.00 FTE	x					
Wolf, Maria	Sr. Editor	1.00 FTE	x					
Library								
Heckart, Ronald	Librarian/Library Director	1.00 FTE	x					
Dean, Terry	Librarian	1.00 FTE	x		x			
Burch, Ben	Library Asst. III	1.00FTE	x		x			
King, Paul	Library Asst. II	100%			x	x		
Kirstein, Lori	AA III-Supervisor	.50 FTE	x		x			
Takaro, Mark	Library Asst. V	.80 FTE	x					
Statewide Database								
Mac Donald, Karin	PA III Sup./Director	1.00 FTE	x					
Cain, Bruce	IGS Director	1/9th summer	x					
Pradham, Anup	Program Analyst II	.67 FTE	x					
Boyle, Nicole	AA II	100%	x					
Kong, Robin	AAII Student	25%	x					

5. Publications

A Tradition of Academic Excellence

Publishing has been an integral part of the work of the Institute of Governmental Studies since it was founded in 1919. Through its quarterly *Public Affairs Report*, working papers, monographs, and books, the IGS publications program disseminates the results of research by IGS scholars and colleagues across the campus. IGS publications are edited and designed for a diverse multidisciplinary audience on campus and off, in the United States and around the world. The *Public Affairs Report* has an international readership of more than 20,000—scholars, students, journalists, and leaders in government, politics, and public affairs. Working papers provide rapid dissemination of research in progress and stimulate the review and discussion of draft reports and papers, preliminary analyses, and studies with a limited audience. IGS Press publishes original analyses, essays, monographs, and edited volumes. IGS publications are the fruit of research by IGS faculty and associated faculty, visiting scholars, and colleagues at universities throughout the United States and around the world.

IGS published nine books this year. Under a series of joint publishing agreements, five of these books were underwritten by grants as part of the Clark Kerr Memoirs Project, one was published with a grant from the California Supreme Court Historical Society, one with a grant from the Departments of Military Education on campus, one with the Portuguese Studies Program, and one in conjunction with Rowman & Littlefield.

The publications program managed this effort despite the loss of one of its two full time staff members. A series of deep budget cuts to IGS as a result of the state's severe budget crisis over the past year forced the Press to lay off its Senior Publications Coordinator. Thanks to the heroic effort of Senior Editor Maria Wolf, who was forced to take on most of the duties previously performed by the Senior Publications Coordinator, the Press has continued to function, although sales have suffered somewhat from an inevitable erosion in marketing.

Breaking New Ground in Multidisciplinary, Multimedia Publishing

Three major innovations in recent years have enabled the IGS publications program to expand its impact significantly while absorbing major budget cuts.

First, IGS Press has negotiated a series of publishing partnerships that has resulted in joint publications with a leading academic publisher (University of California Press), a major commercial publisher of academic work (Rowman & Littlefield), and a well-known Italian academic press (Editori Laterza).

Second, the Press has aggressively pursued partnerships with campus units that do not have the capacity to publish the products of their own research. In past years, this has included volumes published with the Boalt Hall School of Law, the Graduate School of Public Policy, and Military Affairs, among others. This year, we added the Center for Slavic and East European Studies and the Portuguese Studies Program to our growing list of partners.

In a third major shift, the IGS Faculty Advisory Committee, responding to a proposal from the Director of Publications, voted to create the Berkeley Public Policy Press, a multidisciplinary, multimedia publisher of time-sensitive, policy-relevant, social science research. The rationale for the Berkeley Public Policy Press (BP³) flows directly from campus efforts to improve the dissemination of research and expand scholarly communication through consolidation of services and the cost-effective use of new communication technologies. The mission of BP³ is to build a print and electronic bridge that links the research capacity of the University of California, Berkeley to the public policy apparatus of state government, as well as to other academic researchers, students, the public policy community, the press, and the wider world of public affairs.

These shifts, actively pursued over the past year, continue to enhance IGS' reputation as an important niche publisher of campus research. Berkeley's reputation as one of the leading research institutions in the world is well established. But its component parts, particularly the organized research units (ORUs) where much of the cutting edge research is done, often lack the requisite skills and capabilities to disseminate the results of their research efficiently and effectively. Even when funding organizations provide dissemination support, many units lack the capacity to ensure that their research results reach those audiences actively engaged in political discourse.

This lack of communication skills and technology is exacerbated as market pressures force commercial publishers and university presses to retreat from traditional venues for research dissemination, limiting the scholar's ability to publish research reports, specialized monographs, and edited volumes. Researchers who opt for electronic publication confront a medium with immense potential but daunting financial and technical entrance requirements.

As a multidisciplinary, multimedia publisher of policy relevant research, BP³ employs diverse technologies and techniques to help researchers communicate their findings to appropriate audiences. Using a range of traditional print and new digital technologies, BP³ provides an array of communications services, skills, and strategies tailored to the research product and the target audience. Over the years, the IGS publications program has created a consortium of campus units and worked with them to translate their research into language and formats that can be readily communicated to and understood by policymakers, the press, and the public.

Building on the experience of two highly successful IGS programs, the IGS Press and the UC Berkeley/Sacramento Program, BP³ has, over the past year, significantly enhanced the ability of campus units to communicate their research results. The press has developed a unique ability to publish research and analysis in high quality, peer-reviewed, carefully edited, moderately priced volumes and to keep the work in print. Over the past 10 years, the press has published roughly seven volumes a year and currently has 50 titles in print.

In recent years, and particularly over the past year, the IGS publications program has moved into electronic publishing, and its website offers a range of publications from monographs to edited volumes and working papers. This year, IGS created a new Working Papers website and a Policy Papers site as part of the eScholarship Initiative of the California Digital Library. In addition, as older volumes with declining sales have gone out of print in hard copy versions, the Press has published digital versions online to ensure that the material remains available to scholars.

A Campuswide Partnership in Publishing

While upgrading its in-house publishing activities, BP³ has established a unique network of relationships with university and commercial presses that enable it to publish high quality scholarly work in a variety of venues. BP³ published *Dissolution: Sovereignty and the Breakup of the Soviet Union* by Edward W. Walker with Rowman & Littlefield, a major trade publisher of scholarly books. Under contract, BP³ prepared the manuscript, Rowman & Littlefield handled the printing and distribution and the author agreed that all royalties would be paid to IGS.

Working with the California Supreme Court Historical Society, the Press published an analysis of the decisions of distinguished Chief Justice Roger J. Traynor, *Activism in Pursuit of the Public Interest: The Jurisprudence of Chief Justice Roger Traynor* by Ben Field. As part of its ongoing relationship with the Departments of Military Affairs, the Press published this year's Chester W. Nimitz Memorial Lecture in National Security Affairs, *Strategy, Ethics, and the War on Terrorism* by Albert C. Pierce. In a new partnership with the Center for Portuguese Studies, the Press published *On a Blue Leaf: A Bilingual Anthol-*

ogy of Azorean Contemporary Poetry, translated and organized by Diniz Borges. And, as part of its ongoing commitment to the Clark Kerr Memoirs Project, the Press published five new volumes: *Documentary Supplements to The Gold and the Blue*, Clark Kerr, editor; *The Global Campus: Education Abroad and the University of California*, by William H. Allaway; *Budget Reform and Administrative Decentralization in the University of California*, by Loren M. Furtado; *Berkeley at Mid-Century: Elements of a Golden Age*, Essays by Verne A. Stadtman, Lincoln Constance, John R. Whinnery, Travis Bogard, Betty Connors, Jacquelynn Baas, Robert W. Cole, and David Littlejohn; and *Berkeley and Its Students: Days of Conflict, Years of Change, 1945-1970*, Essays by Peter S. Van Houten and Edward L. Barrett, Jr.

With the publication of *Dissolution* and *On a Blue Leaf*, IGS added the Center for Slavic and East European Studies and the Portuguese Studies Program to its growing list of campus publishing partners. This diverse group now includes some 22 Berkeley departments, schools, institutes, and centers that have signed on to work with the new Public Policy Press or have worked with us in the past (see list below). Three years ago, IGS Publications took a major step toward becoming a general social sciences publisher by assuming responsibility for the publications program formerly run by International and Area Studies. By eliminating its publications program, IAS realized more than \$70,000 in salary savings, while the IGS publications staff and budget remained unchanged. Indeed, while the IAS operation had run at a substantial loss, IGS has paid IAS more than \$1,500 annually as its share of income on book sales over the past three years.

UC Berkeley campus units with whom IGS Publications has worked over the years:

- Boalt Hall School of Law
- Buddhist Studies
- Canadian Studies Program
- Center for German and European Studies
- Center for Slavic and East European Studies
- Center for Studies in Higher Education
- Center for Transportation Research
- Center for the Study of Law and Society
- Clark Kerr Memoirs Project
- Earl Warren Legal Institute
- Goldman School of Public Policy
- Institute of European Studies
- Institute of Governmental Studies
- Institute of International Studies
- Institute of Transportation Studies
- Institute of Urban and Regional Development
- International and Area Studies
- Military Affairs Program
- Political Science Department
- Portuguese Studies Program
- School of Social Welfare
- Survey Research Center

This list reflects the breadth and diversity that characterize the IGS publications program. Our basic goals remain unchanged: to assure the academic excellence and editorial quality of the books we publish, and to strengthen our marketing to ensure that our books are widely available to scholars, students, and the general public. As UC President Emeritus Clark Kerr, who is working with BP³ to publish a series of monographs in connection with his memoirs, wrote regarding the work of the Press: "I am well aware of the unique niche this enterprise fills in the scholarly publishing world. . . . [It] adds immeasurably to the abil-

ity of campus ORU's to disseminate rapidly the results of their research and to make available specialized works that are valuable in their field but not considered commercially viable.”

And as Prof. Margaret Weir, Chair of the IGS Faculty Advisory Committee, noted in a campus fact-finding and evaluative report prepared in connection with creation of the Berkeley Public Policy Press: “The IGS Press serves a vital niche market for scholarly work, publishing monographs that are not seen as commercially viable, an increasing problem for specialized work as academic publishers move away from publishing such work. IGS Press is uniquely able to publish books in a very short time frame. Compared with academic presses, which typically take more than a year to publish a book, IGS Press can produce a book within several months. This makes the Press especially valuable in publishing works that are time-sensitive, which is often the case with policy-relevant work.”

Author	Title	Publisher/Vol./ Pages	Date
Bevir, Mark	(with R.A.W. Rhodes) <i>Interpreting British Governance</i>	London: Routledge, hb. & pb., viii, 232	2003
Bevir, Mark	(with R.A.W. Rhodes & Patrick Weller) <i>Traditions of Governance: History and Diversity Public Administration</i>	vol. 81, no. 1	2003
Bevir, Mark	(with R.A.W. Rhodes), "Interpretive Theory," in D. Marsh & G. Stoker, eds., <i>Theory and Methods in Political Science</i>	London: Palgrave Macmillan, 131-52	2002
Bevir, Mark	"What is a Text? A Pragmatic Theory"	<i>International Philosophical Quarterly</i> , 493-508	2002
Bevir, Mark	"The Construction and Use of the Past: A Reply to Critics"	<i>History of the Human Sciences</i> , 15(2): 26-133	2002
Bevir, Mark	"Sidney Webb: Utilitarianism, Positivism, and Social Democracy"	<i>Journal of Modern History</i> , 74: 217-52	2002
Bevir, Mark	"Clarifications"	<i>History of European Ideas</i> , 28: 83-100	2002
Bevir, Mark	"Una Teroria Decentrata Della 'Governance,'" trans. L. Bellocchio,	<i>Stato e Mercato</i> , 467-93	2002
Bevir, Mark	(with R.A.W. Rhodes & Patrick Weller) "Traditions of Governance: Interpreting the Changing Role of the Public Sector in Comparative and Historical Perspective"	<i>Public Administration</i> , 81: 1-17	2003
Bevir, Mark	(with R.A.W. Rhodes) "Searching for Civil Society: Changing Patterns of Governance in Britain"	<i>Public Administration</i> , 81: 41-62	2003
Bevir, Mark	(with R.A.W. Rhodes & Patrick Weller) "Comparative Governance: Prospects and Lessons"	<i>Public Administration</i> , 81: 191-210	2003
Bevir, Mark	(with R.A.W. Rhodes), "Analyzing Networks: From Typologies of Institutions to Narratives of Belief"	<i>Science and Society</i> (Athens), 1021-56	2003
Bevir, Mark	(with David O'Brien), "From Idealism to Communitarianism: The Inheritance and Legacy of John Macmurray"	<i>History of Political Thought</i> , 24: 305-29	2003
Bevir, Mark	"Ernest Belfort Bax," "Henry Myers Hyndma," and "Sidney Webb," in <i>Thoemmes Dictionary of Nineteenth Century British Philosophers</i>	Bristol: Thoemmes Press	2003
Cain, Bruce E.	(with Megan Mullin) "Competing for Attention and Votes: The Role of State Parties in Setting Presidential Nomination Rules," in <i>The Parties Respond: Changes in American Parties and Campaigns</i> , Fourth Edition, L. Sandy Maisel, ed.	Boulder, Colo.: Westview	2002
Citrin, Jack	(with Erick Schickler and John Sides) "What if Everyone Voted? Simulating the Impact of Increased Turnout in Senate Elections"	<i>American Journal of Political Science</i> , vol. 47, no.1	2003.
Citrin, Jack	(with John Sides), "Can There be Europeans without Europe?: Problems of Identity in a Multinational Community," in M. Hermann, ed., <i>Advances in Political Psychology, Volume 1</i>	Amsterdam: Elsevier Press	2003

Einhorn, Robin	“Patrick Henry’s Case Against the Constitution: The Structural Problem with Slavery”	<i>Journal of the Early Republic</i> 22: 549-73	2002
Epstein, Edwin M.	“The Field of Business Ethics in the United States: Past, Present, and Future”	<i>Journal of General Management</i> , 28(2): 1-21	2002
Ferrera, Maurizio	“European Integration and National Social Citizenship: Changing Boundaries, New Structuring?”	<i>Comparative Political Studies</i> , 36(6): 611-52	2003
Hennessey, Kathleen	“Santa Maria Woman to Chair Chicano Latino Caucus”	<i>Santa Barbara News-Press</i>	2003
Hennessey, Kathleen	Two Articles	<i>The Sacramento Bee</i>	2003
Landau, Madeline	(with Nancy Gilbert) Program on Community Change & Public Policy— “Strengthening a Community’s Social Capital: Methods and Measures for Low-Income Community Initiatives”	Report commissioned by the U.S. Dept. of Health and Human Services, Office of Community Services and the City of Oakland	2003
Landau, Madeline	“An Institution-Building Approach to Connecting Low-Income Communities to The Labor Market: From Service Strategies to Group Employment Pathways”	Alameda County Social Services Agency	2002
LaPorte, Todd	Contributor to, National Research Council, <i>One Step at a Time: The Staged Development of Geologic Repositories for High Level Radioactive Waste</i> . Report of the Committee on Principles and Operational Strategies for Staged Repository Systems, Board on Radioactive Waste Management	The National Academies	2002
LaPorte, Todd	Contributor to, National Research Council, <i>Long-Term Stewardship of DOE Legacy Waste Sites</i> . Report of the Committee on Long-Term Institutional Management of DOE Legacy Waste Sites – Phase 2, Board on Radioactive Waste Management	The National Academies	2002-03
LaPorte, Todd	with H. George Frederikson, “Airport Security, High Reliability, and the Problem of Rationality”	<i>Public Administration Review</i> , Special Issue on Homeland Defense 62: 34-44	2002
Lien, Pei-te	(with M. Margaret Conway and Janelle Wong) “The Contours and Sources of Ethnic Identity Choices Among Asian Americans”	<i>Social Science Quarterly</i> 84 (2): 461-81	2003
Polsby, Nelson	(with Eric Schickler) “Landmarks in the Study of Congress Since 1945”	<i>Annual Review</i> 5: 333-67	2002
Polsby, Nelson	<i>Annual Review of Political Science</i> , vol. 5, Nelson W. Polsby, ed.	Palo Alto, Calif.: Annual Reviews Inc.	2002
Polsby, Nelson	“Holy Cow: Preliminary Reflections on the 2000 Election,” in Ronald Dworkin (ed.), <i>A Badly Flawed Election</i>	N.Y.: New Press, 265-83, 326-27	2002

Polsby, Nelson	“American Presidential Elections: The Last One and the Next One”	<i>The Forum</i> , (online journal), (Text of a talk at the Ecole Normale Supérieure de Cachan)	2002
Polsby, Nelson	(with Raymond J. Laraja) Foreword to David B. Magleby (ed.), <i>Financing the 2000 Election</i>	Washington, D.C.: Brookings, ix-xiii	2002
Polsby, Nelson	“How to Spin the Election of 2002”	The Forum III	2003
Rasky, Susan	The Bottom Line - Welfare and Education	<i>California Journal</i>	2002
Rasky, Susan	The Bottom Line - Budget Structure	<i>California Journal</i>	2003
Rasky, Susan	The Bottom Line - Letter from New Hampshire	<i>California Journal</i>	2003
Rose, Howard L	(with Nolan McCarty and Keith Poole) “Congress and the Territorial Expansion of the United States,” in David Brady and Matthew McCubbins, eds., <i>New Directions in Studying the History of the U.S. Congress</i>	Stanford University Press	2002
Schardt, Hannah	“Antiwar Sentiment Takes Center Stage”	<i>Santa Barbara News-Press</i>	2003
Schrag, Peter	“The Culture Brawl” (Review of <i>Whose America: Culture Wars in American Schools</i> by Jonathan Zimmerman)	<i>The American Prospect</i>	2003
Schrag, Peter	“Journey to the West” (Review of <i>The Chinese in America</i> by Iris Chang)	<i>Washington Post</i>	2003
Schrag, Peter	“What If Jarvis Had Never Been Born?”	<i>California Journal</i>	2003
Schrag, Peter	“California Revolts, Again”	<i>New York Times</i>	2003
Schrag, Peter	“Adequacy in Education: Why is Clear, But How?”	<i>New York Times</i>	2003
Schrag, Peter	Regular weekly column	<i>Sacramento Bee</i>	2002-03
Schrag, Peter	(with Patrick Bolton) “Political Intervention in Debt Contracts: Moratoria and Bailouts”	<i>Journal of Political Economy</i> , October, 110: 1103-34	2002
Smolensky, Eugene	“Directions for Future Research,” <i>Political Economy and Public Finance</i> , Stanley L. Winer and Hirofumi Shibata, eds.	Edward Elgar, Cheltenham, UK, 217-23.	2002
Smolensky, Eugene	“On Fighting Income Poverty; Reflections on Six Country Evaluations,” <i>Capacity Building for Poverty Eradication</i> , Roger Maconick ed.	United Nations, New York, 103-27	2002
Stoker, Laura	(with Jake Bowers) “Designing Multi-Level Studies: Sampling Voters and Electoral Contexts,” <i>Electoral Studies</i> , 21:235-67. Reprinted in <i>The Future of National Election Studies</i> , Mark N. Franklin and Christopher Wlezien, eds.	New York: Pergamon Press	2003
Weir, Margaret	“Income Polarization and California’s Social Contract,” <i>The State of California Labor</i> , ed. Ruth Milkman	University of California Press	2002

Weir, Margaret	“The American Middle Class and the Politics of Education,” in <i>Social Contracts Under Stress</i> , ed. Olivier Zunz, Leonard Schoppa, and Nobuhiro Hiwatari	Russell Sage Foundation, 178-203	2002
Wilensky, Hal	<i>Rich Democracies: Political Economy, Public Policy and Performance</i>	Berkeley, University of California Press	2003

6 . Space

ITEM	LINE ITEM DESCRIPTORS	2002-2003
	<i>RESOURCE SUMMARY</i>	
<u>500</u>	SPACE ASSIGNMENT	
<u>507</u>	MEETING/STUDY	7,864
<u>509</u>	OFFICE & SUPPORT	3,725
<u>511</u>	RESEARCH	1,411
<u>513</u>	SPECIAL USE	0
<u>515</u>	MISC	0
<u>517</u>	TOTAL ASSIGNED SPACE	13,000

7. Financial Resources

APPROPRIATIONS

Central and University	
General Funds	\$840,295 ¹
Statewide Database (SWDB)	\$557,557 ²
Temporary Augmentations	\$256,059
Income (Revenue)	\$296,501
Endowments	\$710,512 ³
Gifts	\$172,002
<i>Subtotal Central and University Appropriations</i>	<i>\$2,832,926</i>

EXPENDITURES	Research Program	Administrative Support	Other (specify)	Totals
Central and University				
Central and Other Funds (05397, 07427, 095XX, 199XX, 20000, 68800, 69749, 69750, 75080 only)	\$105,817	\$362,068	\$1,052,557 ⁴	\$1,520,446
Income (Revenue)	\$0	\$0	\$163,579 ⁵	\$163,584
Recharge	\$0	\$0	\$0	\$0
Endowments	\$136,231	\$74,922	\$165,291 ⁶	\$376,450
Gifts	\$20,118	\$2,549	\$28,623 ⁷	\$51,297
<i>Subtotal Central and University Expenditures</i>	<i>\$262,166</i>	<i>\$439,539</i>	<i>\$1,410,050</i>	<i>\$2,111,777</i>
Contracts and Grants				
Federal Government	\$0	\$0	\$254,332 ⁸	\$254,332
State Government	\$0	\$0	\$0	\$0
Local Government	\$35,086	\$0	\$0	\$35,086
Private/Non-Profit Foundations	\$1,284,050 ⁹	\$0	\$0	\$1,284,050
Other (specify)	\$0	\$0	\$0	\$0
Indirect Costs	\$55,303	\$0	\$9,641	\$64,944
<i>Subtotal Contracts and Grants Expenditures</i>	<i>\$1,374,439</i>	<i>\$0</i>	<i>\$263,973</i>	<i>\$1,638,412</i>
<i>Total Expenditures</i>	<i>\$1,636,605</i>	<i>\$439,539</i>	<i>\$1,674,023</i>	<i>\$3,750,189</i>

¹ Includes VCR salary downgrade returned to IGS \$45,264.

² Includes FY02-03 carryforward \$39,546

³ Includes: UCOP-Library Serials Conversion \$30K, Legislator In-Residence \$55,940, UCB/Sacramento Program \$58,710 & various research projects

⁴ Includes: Library staff \$270,873, Publications staff \$133,020, UCOP Library Conversion \$28,384, IGS Legislator In-Residence. \$53,940, SWDB \$518,011, & UBC/Sacramento Program \$48,329

⁵ Includes: Publications \$40,313, Library PPIC Contract \$100,143, & various research projects

⁶ Includes: Two Gardner Fellows \$47,335, *Public Affairs Report* \$16,867, Library support \$54,813, & conferences & meetings \$45,276

⁷ Includes: Haas Gardner Fellow \$22,000 & various conferences \$6,623

⁸ Includes: NSF years 3 of 4 year grant. Actual FY02-03 expense \$12,420. Also, cumulative expense \$87,403 for closed out awards, WSP students \$14,119 & Publication cost \$2,253

⁹ CCL/Pew Trust 2 year 9 mo. grant includes FY02-03 expenses \$699,050 & various awards closed-out \$120,739